Synthesis and characterization of Tin oxide thin film, effect of annealing on multilayer film

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Abstract- Nano crystalline Tin oxide thin film of multiple layers was successfully prepared by the sol-gel method with, spin coater has been used to deposit the films. The starting material is SnCl₂. The SnO₂ material was characterized by X-ray Diffraction (XRD), Scanning Electron Microscope (SEM). The optical properties (A, T, R) of the SnO₂ thin film of various annealing temperatures (400,500,600°C) have been studied. Characterization results indicated that the products are composed of crystalline SnO₂ nanoparticles which exhibit the cassiterite-type tetragonal crystal structure. SEM revealed that with increase annealing temperature, the uniformity of the film increased. XRD measurements showed that the grain size increased from 1.06, 1.48, 1.71 nm. The variations of the refractive index (n), extinction coefficient (K) and Optical Conductivity with the wavelength have been studied. Nevertheless, the variation of the optical band gap with film thicknesses shows a significantly decrease in the values of the band gap with increase the film thicknesses.

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1. INTRODUCTION

SnO₂ film is a wide band gap (3.6ev) n-type semiconductor with a highly resistant to moisture and acids [1], gas sensing materials for gas sensor devices [2], aerospace vehicles [3]. The methods that have been employed to prepare SnO₂ thin films include photochemical deposition [4], RF sputtering [5], sol-gel process [6, 7] chemical vapor deposition [8, 9], physical vapor deposition [10], spin-coating [11] and spray pyrolysis [12]. Sol-gel technology has emerged as an ideal fabrication method due to its low processing cost and its ability to control the morphology of the film. It has been reported that SnO₂ films prepared by spin-coating method can be used as gas sensors [13–15] and solar cell system electrode materials.

2. EXPERIMENTAL DETAILS

SnCl₂·2H₂O was used as precursor material. 8.374 gm of SnCl₂·2H₂O was dissolved in 100 ml of absolute ethanol. The mixture was refluxed and stirred at 353 K for 3 hours and then it was allowed to cool to the room temperature for 1 hour with continuous stirring. We used a glass substrate to deposit the solution with the help of the commercial spin coater Figure (1). For film deposition, the glass substrates were cleaned for 30 minutes in H₂SO₄ : H₂O₂ : 3 : 1, then for 10 minutes in acetone with boiling temperature, also for 10 minutes in methanol with boiling, then rinsed in de-ionized water and dried in 150°C. We kept the spin coater speed at 2100 rpm for 30 seconds. We started from one layer until twelve ones and under those conditions, we found that the twelfths one was the fitted one. With twelve multi layers, the coated glass slide was air annealed at 400, 500 and 600 for 10 minutes. The slide was then allowed to cool to the room temperature.
3. RESULTS AND DISCUSSION

3.1. SEM studies

Figure 2. (a, b, c) show the SEM images for the SnO2 thin films calcinated at 400, 500, 600 °C, respectively. Obviously, that the uniformity increases with increase the annealing temperatures.

3.2. EDS analysis (Chemical composition):

Fig. (3). Shows the EDS spectrum of SnO2 thin film annealed at 400, 500, 600 °C. In the samples the oxygen element component (atomic %) are observed to be almost twice that of tin, hence confirming the chemical composition to be SnO2. No other impurities are detected confirming high purity of the SnO2 thin film.
Fig. 3. (a, b, c) the EDX results of the as prepared SnO\textsubscript{2} thin films which annealed at 400, 500, 600 °C, respectively.

### 3.3 XRD results

Structural analysis of the deposited SnO\textsubscript{2} film was carried out by using CuK\textsubscript{α} radiation source having wavelength 1.5406 Å. The X-ray diffraction pattern of the film is recorded. Reflections from the tetragonal crystallographic phase (cassiterite) of SnO\textsubscript{2} that match standard inter planar spacing JCPDS card no. 01-077-0452 became sharp with increase the annealing temperatures.

From Figure 4. (a), we estimated that the spin coater time run cycle was affected the deposited films. We tested the deposited films under a constant spin coater’s speed (2100 rpm), a number of layers (12-layers), and the annealing conditions (400 °C, and 10 min) and we changed only the spin coater’s run cycle time as 15, 30 seconds. We found a difference in the XRD intensity. In the case of “30 seconds”, the XRD intensity is higher than that of “15 seconds”. Dependently, in the next steps, we will keep our spin coater’s time as 30 seconds.

Fig. 4(b) shows the XRD for 12 and 24 multilayer thin films at 2100rpm and an annealing temperature of 400°C. In our work, we started to deposit a multi-layered thin films and measured the corresponding XRD results, we found that with increase the deposited films, the crystallization becomes more clear and intensive. And we found that the 12-layered deposited films with 2100rpm and the spin coater run cycle time is 30 seconds, are the best.

Fig. 4(c) shows the XRD results of the 12-multi layered SnO\textsubscript{2} thin films with 2100rpm as the spin coater speed, 30 seconds as the spin coater run cycle time and different annealing temperatures (400, 500, 600°C) with a constant annealing time of 10 min for all cases. With increase the annealing temperatures, crystalline nature of the deposited SnO\textsubscript{2} films sets in. With increase the annealing temperatures the SnO\textsubscript{2} thin films became more defined and
progressively more intense and sharp. It is evident that the films show only SnO$_2$ peaks with its preferred orientation directions at (110), (101), (200), (211), (220), (002), (310), (112), (301), (202), and (321). Since all peaks are sharp for high temperatures, it is evident that the film is polycrystalline in nature. The grain size was calculated by XRD peaks, which has the maximum intensity. Using Sherrer’s equation the grain size can be calculated as:

$$D = \frac{0.94 \lambda}{\beta \cos \theta},$$

where $D$ is the average grain size, $\lambda = 1.5406 \text{ Å}$ is X-ray wavelength and $\beta$ is the peak FWHM and $\theta$ is the diffraction peak position. On the other hand, the diffraction peaks in the XRD pattern are very sharp with the high intensity indicating the significant increase in grain size. The particles size are 10.6, 14.8, 17.1 nm corresponds to annealing temperatures 400, 500, 600 $^\circ$C, respectively. The variation of annealing temperatures with the grain size is plotted in figure 4. (d), which shows that the grain size increased with increase the annealing temperature.

Figure 4.(a), represent the X-ray diffraction patterns of the SnO$_2$ thin films at 2100rpm and 15,30 seconds as the spin coater run cycle. Figure 4.(b), represent the XRD of the SnO$_2$ thin films at 2100rpm and 30 seconds as the spin coater run cycle with 12,24-deposited layers and 400 $^\circ$C as the annealing temperature. Fig. 4(c), represent XRD of the SnO$_2$ thin films at 2100rpm and 30 seconds as the spin coater run cycle with varying the annealing temperatures, 400,500,600$^\circ$C. Fig. 4(d) shows the variation of grain size with annealing temperature.
3.4. Optical studies:

3.4.1. Optical transmittance spectra

Fig. 5(a), UV-Vis Spectroscope has been used to investigate the optical transmission (T) of 12 and 24 multilayer SnO2 films annealed at 400 °C which deposited on glass substrates. A sharp fall in transmission at about 310 nm is due to the absorption of the glass substrate. The transmission of films decreases with increase the deposited layers. This may be due to the increase in the film thickness which is also clear from the fringes in the transmission curves. From fig. 5(b) the transmission curves of the as prepared SnO2 thin films of 12-layered which annealed at various temperatures (400,500,600°C). It provide us, that with increase the annealing temperatures (film thicknesses), the transmission decreases.

3.4.2. Theory of thickness measurement

The film thicknesses were calculated using the envelop method developed by Manifacier et al. Refractive index (n) of the thin film can be calculated as:

\[ n = \left\{ N + (N^2 - \mu^2)^{1/2} \right\}^{1/2} \]

Where:

\[ N = 2\mu \frac{T_u - T_l}{T_u T_l} + \frac{\mu^2 + 1}{2} \]

And \( \mu \) is the refractive index of the substrate (=1.53 for glass). \( T_u \) and \( T_l \) are the transmission maximum at upper envelops and transmission minimum at lower envelop for a particular wavelength \( \lambda \).

\[ d = \frac{\lambda_2 - \lambda_1}{4(n_2 - n_1)\lambda_1} \]

Fig. 5(a) Optical transmission spectra- wavelength (nm) curve of 12 and 24 multilayered layered SnO2 film annealed at 400 °C. Fig. 5(b) shows the transmittance variation of 12 layers SnO2 thin films annealed at 400,500,600°C.
We will mathematically calculate the thickness of the 12-layered thin film which annealed at 400°C and the same for 500,600°C.

<table>
<thead>
<tr>
<th>Annealing Temp</th>
<th>Film thickness</th>
</tr>
</thead>
<tbody>
<tr>
<td>400°C</td>
<td>1028 nm</td>
</tr>
<tr>
<td>500°C</td>
<td>1072 nm</td>
</tr>
<tr>
<td>600°C</td>
<td>1090 nm</td>
</tr>
</tbody>
</table>

Fig. 6. Table (1) shows the variation of annealing temperature with film thickness.

We found that the film thicknesses increased with increase the annealing temperature as shown the table (1).

3.4.3. Absorption spectrum:

The absorption coefficient can be calculated from the Lambert’s formula.

\[ \alpha = (1/d) \log (1/T) \]

Where, \( d \) = is a thickness of the film, \( T \) = is a transmittance of the film.

Figure 7 shows the absorption spectrum of the 12-layered thin film which annealed at 400,500,600°C SnO₂.

3.4.4. Optical Band Gap (Eg) Characterization

Fig. 8 shows the variation of \((\alpha h \nu)^2\) & \((h \nu)\) for the determining the band gap \(E_g\) of SnO₂ films of various thicknesses by extrapolation of curve. The incident photon energy is related to the direct band gap \(E_g\) by equation:


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From table (2), it is observed that the optical band gap blue-shifted with increase the annealing temperatures and the film thicknesses, as well. Generally, the effect of band gap variations with film thicknesses owing to:

(i) Effect of quantum size
(ii) Large density of dislocations
(iii) Change in barrier height owing to change in grain size in polycrystalline films

Owing to the films thicknesses are large which may causes dislocations and defects during the films preparations can support the second reason.

Table 2. Variation of optical band gap with film thickness of SnO2 films. It is clear that by increases the temperature, energy gap was decreased.

<table>
<thead>
<tr>
<th>Annealing Temp (°C)</th>
<th>Film thickness(nm)</th>
<th>Optical band gap(eV)</th>
</tr>
</thead>
<tbody>
<tr>
<td>400</td>
<td>1028.668543</td>
<td>3.7</td>
</tr>
<tr>
<td>500</td>
<td>1072.593407</td>
<td>3.6</td>
</tr>
<tr>
<td>600</td>
<td>1090.493067</td>
<td>3.5</td>
</tr>
</tbody>
</table>

Fig. 8 (a,b,c). The plots of (αE)2 vs. photon energy E of SnO2 thin film deposited with spin coating at 2100 rpm on glass substrate and annealed for 10 min at 400, 500, 600 °C. Fig. 8(d) represents the variation of energy gap with the annealing temperatures
3.4.5. Reflectance (R) spectrum:
The reflectance (R) has been found by using relationship:
\[ R + T + A = 1 \]

3.5. Determination of the Optical Constants, Refractive Index, n and Extinction Coefficient, k:
The complex refractive index is defined by:
\[ N(\omega) = n + iK \]
Where n is the refractive index and k is the extinction coefficient, n of a thin film can be determined by the following relation:
\[ n = \frac{\left(1 + R \right)}{\left(1 - R \right)} + \sqrt{\frac{4R}{(1-R)^2} - K^2} \]
Where R is the reflectance. And the extinction coefficient of a thin film can be determined by the following relation:
\[ K = \frac{\alpha\lambda}{4\pi} \]
Where \( \alpha \) is the absorption coefficient of the film, and \( \lambda \) is the wavelength of light.

Fig. 10 (a, b, c), shows the variation of refractive index and the extinction coefficient with wavelength. It can be seen that, the both factors decreased with the wavelength according to the behavior of reflectance spectrum.
The refractive index of SnO2 as reported before, at 550 nm was 2.00 and the extinction coefficient was 0.03. In our work, the refractive index at \( \lambda = 549.7163 \) nm is 2.0 and the extinction coefficient at \( \lambda = 499.01 \) is 0.0288
Fig. 10 (a, b). The variation of extinction coefficient (K) and refractive index (n), respectively, of SnO2 thin film of 12 layers which annealed at 400, 500, 600 °C.

4. CONCLUSION

We studied the effect of annealing temperature on a multilayer spin coated tin oxide thin films by sol-gel technique. It was found that the surface morphology changes with the different annealing temperatures. The transmittance and the optical band gap decrease with increase the film thickness while the refractive index increases.

REFERENCES